



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/US89/03563</p> <p>(22) International Filing Date: 18 August 1989 (18.08.89)</p> <p>(30) Priority data: 233,590 18 August 1988 (18.08.88) US</p> <p>(71) Applicant: MASSACHUSETTS INSTITUTE OF TECHNOLOGY [US/US]; 77 Massachusetts Avenue, Cambridge, MA 02139 (US).</p> <p>(72) Inventors: KOST, Joseph ; 54 Shita, Omer (IL). LANGER, Robert, S. ; 77 Lombard Street, Newton, MA 02158 (US).</p> <p>(74) Agents: PABST, Patrea, L. et al.; Kilpatrick &amp; Cody, 100 Peachtree Street, Suite 3100, Atlanta, GA 30303 (US).</p>		<p>(81) Designated States: AT (European patent), BE (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).</p> <p>Published With international search report.</p>
<p>(54) Title: ULTRASOUND ENHANCEMENT OF TRANSBUCCAL DRUG DELIVERY</p> <p>(57) Abstract</p> <p>A method using ultrasound for enhancing and controlling transbuccal permeation of a molecule, including drugs, anti-gens, vitamins, inorganic and organic compounds, and various combinations of these substances, through the buccal membranes and into the circulatory system. The frequency and intensity of ultrasonic energy which is applied, and the length of time of exposure are determined according to the location and nature of the buccal membrane and the substance to be infused. Levels of the infused molecules in the blood and urine measured over a period of time are initially used to determine under what conditions optimum transfer occurs. In a variation of the method whereby ultrasound is applied directly to the compound and site where the compound is to be infused through the buccal membranes, the compound can be placed within a delivery device. In one variation, the ultrasound can control release both by direct interaction with the compound and membrane but also with the delivery device. In another variation, the delivery device helps to modulate release and infusion rate. The compound can also be administered in combination with a chemical agent which alters permeability of the buccal membrane, thereby aiding infusion of the compound into the circulatory system.</p>		

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## ULTRASOUND ENHANCEMENT OF TRANSBUCCAL DRUG DELIVERY

Background of the Invention

The United States Government has certain rights in this invention by virtue of National Institute of Health Grant No. NIH-2R04-GM26698-07.

This application is a continuation-in-part of  
5 U.S. Serial No. 883,111 entitled "Ultrasound  
Enhancement of Transdermal Drug Delivery" filed July  
8, 1966 by Joseph Kost and Robert S. Langer, issued  
August 30, 1988 as U.S. patent No. 4,767,402, and U.S.  
Serial No. 936,000 entitled 'Ultrasonically Modulated  
10 Polymeric Devices for Delivering Compositions" filed  
November 28, 1986 by Joseph Kost and Robert S. Langer,  
which is a divisional of U.S. Serial No. 633,366 filed  
July 23, 1984, issued April 14, 1987 as U.S. Patent  
No. 4,657,543.

15 Drugs are routinely administered either orally  
or by injection. The effectiveness of most drugs is  
dependent on achieving a certain concentration in the  
bloodstream. Although some drugs have inherent side  
effects which cannot be eliminated in any dosage form,  
20 many drugs exhibit undesirable effects that are  
specifically related to a particular route of  
administration. For example, drugs may be degraded in  
the gastrointestinal tract by the low gastric pH,  
local enzymes or interaction with food or drink within  
25 the stomach. The drug or disease itself may forestall  
or compromise drug absorption because of vomiting or  
diarrhea. If a drug survives its trip through the  
gastrointestinal tract, it may face rapid metabolism  
to pharmacologically inactive forms by the liver, the  
30 first-pass effect. Sometimes the drug itself has  
inherent undesirable attributes such as a short half-

life, high potency or a narrow therapeutic blood level range.

Some of the recent efforts aimed at eliminating some of the problems of traditional dosage forms have involved transdermal delivery of the drugs. Topical application has been used for a very long time, mostly in the treatment of localized skin diseases. Local treatment, however, only requires that the drug permeate the outer layers of the skin to treat the diseased state, with little or no systemic accumulation. Transdermal delivery systems are specifically designed to obtain systemic blood levels. Transdermal permeation or percutaneous absorption can be defined as the passage of a substance, such as a drug, from the outside of the skin through its various layers into the bloodstream.

The transport of drugs through the skin is complex since many factors influence their permeation. These include the skin structure and its properties, the penetrating molecule and its physical-chemical relationship to the skin and the delivery matrix, and the combination of the skin, the penetrant, and the delivery system as a whole. Topical application of drugs has focused much attention on skin permeability properties. Many reports have described efforts to change skin permeability using chemical enhancers, molecules which enter the stratum corneum and decrease its resistance to drug penetration, or by external means such as iontophoresis. Chemical agents such as dimethylsulfoxide (DMSO), or 1-dodecylazacycloheptan-2-one (Azone), tend to enhance the penetration of drugs that are incorporated within them. However,

other than in methods utilizing ultrasound, as described in U.S. Serial No. 883,111 entitled "Ultrasound Enhancement of Transdermal Drug Delivery" filed July 8, 1986 by Joseph Kost and Robert S.

5 Langer, and iontophoresis, there is no way of externally controlling the rate of drug release.

Buccal administration potentially offers certain advantages for delivery of drugs which cannot be easily or efficaciously administered by other routes  
10 such as oral or intravenous routes. However, as described in Ebert, et al., in Ch. 23. Transbuccal Absorption of Diclofenac Sodium in a Dog Model, Controlled Release Technology Pharmaceutical Application, ed. Ping I. Lee and William R. Good, 310-  
15 321 (American Chemical Society 1987), transbuccal drug delivery has received relatively little attention and few well-controlled studies of buccal mucosa permeability have been conducted.

The oral mucosa provides a protective coating  
20 for underlying tissues while acting as a barrier to microorganisms and as a control to the passage of substances through the oral cavity. In humans, the buccal membranes consisted of keratinized and nonkeratinized striated epithelium. Many factors,  
25 including partition characteristics, degree of ionization, and molecular size, influence the transport of drugs across the membrane. Many drugs do not pass through the buccal membranes in sufficient amounts to be useful. Insulin is a primary example of  
30 a drug which is very poorly absorbed through the buccal membranes and which also cannot be given orally

due to degradation and poor absorption in the gastrointestinal tract.

The few previous attempts to enhance buccal membrane permeability have followed the studies on enhancing intranasal delivery, using agents which increase permeability, such as histamine and other vasoactive compounds, and surfactants, such as hydrophobic bile salt derivatives, described by Olanoff, et al., in Ch. 22 Method to Enhance Intranasal Peptide Delivery Controlled Release Technology Pharmaceutical Application, ed. Ping I. Lee and William R. Good, 301-309 (American Chemical Society 1987). It is obvious that these methods are not easily utilized within the mouth.

It is therefore an object of the present invention to provide a method for enhancing and controlling permeation of a molecule through the buccal membranes and into the circulatory system.

It is a further object of the invention to provide a method for enhancing permeation through the buccal membranes which is non-invasive and does not harm either the membrane or the molecules being infused.

It is still another object of the invention to provide an improved method for transbuccal delivery of drugs where the primary goal is to achieve a suitable therapeutic blood level at a rate independent of the drug being infused.

It is a further object of the present invention to provide an improved method for transbuccal delivery of a drug which is useful with a variety of molecules,

including molecules soluble in a aqueous, inorganic or lipid solution.

It is still another object of the present invention to provide a simple, efficient, reproducible  
5 and economical method for enhancing transbuccal permeation.

### Summary of the Invention

The present invention is a method using ultrasound for enhancing and controlling transbuccal  
10 permeation of a molecule, including drugs, antigens, vitamins, inorganic and organic compounds, and various combinations of these substances, through the buccal membranes and into the circulatory system. The frequency and intensity of ultrasonic energy which is  
15 applied, and the length of time of exposure are determined according to the location and nature of the buccal membrane and the substance to be infused. Levels of the infused molecules in the blood and urine measured over a period of time are initially used to  
20 determine under what conditions optimum transfer occurs.

In general, the frequency range of the ultrasound is between 20 kHz and 10 MHz, with intensities between 0 and 4 W/cm<sup>2</sup>. Intensity is  
25 decreased as the frequency is decreased to prevent damage to the buccal membranes. The preferred range of frequencies is between 0.5 MHz and 1.5 MHz and the preferred range of intensities is between 2 and 4 W/cm<sup>2</sup>. Exposure is for up to 10 minutes for most  
30 medical uses. The ultrasound may be pulsed or

continuous. The frequency, intensity and time of exposure are interdependent as well as a function of the molecule being diffused and the nature of the membrane at the site of exposure. One way of  
5 determining the maximum limit of exposure is to measure membrane temperature, decreasing or stopping the treatment when the temperature of the skin rises one to two degrees Centigrade.

In a variation of the method whereby ultrasound  
10 is applied directly to the compound site where the compound is to be infused through the buccal membranes, the compound can be placed within a delivery device, for example, similar to a small transdermal patch, or microencapsulated, so that the  
15 ultrasound can control release both by direct interaction with the compound and membrane but also with the delivery device.

#### Detailed Description of the Invention

The present invention is a method for  
20 controlling and enhancing the rate and efficacy of permeation of a drug through buccal membranes into the circulatory system which utilizes a limited exposure of the infusion site to ultrasound. The ultrasound alters the passage of the molecules through the  
25 epithelial cells, via intercellular and intracellular penetration. The required length of time and frequency and intensity of ultrasound exposure are dependent on a number of factors including membrane thickness and resistance to permeation, which varies  
30 from species to species.



Ultrasound is sound having a frequency greater than about 20 kHz. Ultrasound used for medical diagnostic purposes usually employs frequencies ranging from 1.6 to about 10 MHz. As disclosed here, 5 frequencies of between 20 kHz and 10MHz with intensities between 0 and 4 W/cm<sup>2</sup>, preferably between 2 and 4 W/cm<sup>2</sup>, are used to enhance transbuccal transfer of molecules, although this range is variable according to the species, molecule and site of 10 infusion, and may be expanded after testing to determine optimum parameters to achieve the desired levels while minimizing damage to the infusion site. The preferred frequency range is between 0.5 MHz and 1.5 W/cm<sup>2</sup>. Compounds which alter the permeability of 15 the buccal membranes, such as some of the compounds known to those skilled in the art and referred to in Olanoff, et al., in Ch. 22 Method to Enhance Intranasal Peptide Delivery Controlled Release Technology Pharmaceutical Application, ed. Ping I. Lee 20 and William R. Good, 301-309 (American Chemical Society 1987), can also be utilized in conjunction with the ultrasound to alter the required frequency and intensity and time required to achieve the desired infusion of compound. Devices are available which 25 emit both pulsed and continuous ultrasound. Exposures of only a few minutes are usually sufficient since the response time to the ultrasound is very rapid. Care must be taken to avoid excessive exposure which might cause burning. The temperature of the membrane is one 30 indicator of overexposure. In the present invention as applied to humans, the temperature is held under 38°C

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The specific embodiment of the ultrasound device is not crucial. Probes, bathes and boxes are all useful depending on where the ultrasound is to be applied. A number of devices are commercially  
5 available.

In contrast to the disclosure in U.S. Serial No. 883,111 entitled "Ultrasound Enhancement of Transdermal Drug Delivery" filed July 8, 1986 by Joseph Kost and Robert S. Langer, a liquid media  
10 between the ultrasound applicator and the membrane is not essential due to the high level of moisture which is normally present at the surface of the buccal membranes. Optionally, any type of aqueous or inorganic gel which is non-toxic and preferably not  
15 unpleasant tasting and having and absorption coefficient similar to that of water may be used as the medium between the buccal membranes and the ultrasound applicator.

In a variation of the method wherein ultrasound  
20 is applied directly to the compound and site where the compound is to be infused into or through the buccal membranes, the compound can be located within a delivery device for additional rate control. The device can be polymeric or similar to the transdermal  
25 patches presently in use. The material can be sensitive to the ultrasound, as described in U.S. Serial No. 936,000 entitled "Ultrasonically Modulated Polymeric Devices for Delivering Compositions" filed November 28, 1986 by Joseph Kost and Robert S. Langer,  
30 or release compound at a rate independent of the application of ultrasound. Many formulations are known to those skilled in the art which are safe for

use internally and dissolve in the mouth. Many biocompatible polymers can be used to form a polymeric matrix for the compound to be delivered, including both biodegradable and non-biodegradable polymers such as polyanhydrides, polylactic acid, polyglycolic acid, ethylene vinyl acetate copolymers, polypropylene, polyethylene. The release rate can also be manipulated by the form used to encapsulate the compound to be delivered. For example, the release rate from microcapsules is different from a slab containing compound, even when made of the same material.

The advantage of using ultrasound is that the rate and efficiency of transfer is both improved and controlled. Drugs which would simply not pass through the buccal membranes and into the circulatory system, or pass at a rate which is inadequate or variable over time, are forced through the epithelial cells of the membrane when ultrasound is applied. By controlling the frequency, intensity and time of exposure, the rate of transfer is controlled. Measurements taken over time of the blood or urine concentrations can be used to determine at what point the ultrasound conditions are correct.

In the preferred embodiment, ultrasound is used to enhance the passage of a compound through the membrane of a patient. Greater control and drug utilization is achieved by increasing the rate and directional control of the applied drug. The percentage of drug which quickly enters the bloodstream is increased accordingly and undesirable side effects avoided. The application of ultrasound

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allows transbuccal infusion of drugs which would otherwise not be possible. The goal is to infuse molecules through the buccal membranes into the bloodstream at an optimal rate. In the transdermal devices or "patches" presently in use, even drugs with low molecular weights such as nitroglycerin take 30 minutes to enter the bloodstream. A hypertension drug such as Catapresan may take up to two days to fully enter the bloodstream. It is highly desirable to decrease the rate of entry of these drugs to a matter of a few minutes, less than the time required for the drug to enter the bloodstream when given orally and absorbed through the gastrointestinal tract.

Examples of drugs which may be administered using ultrasound to enhance and control infusion through the transbuccal membranes include biologically active peptides such as insulin, vasopressin, enkephalin, calcitonin, nitroglycerin, compounds which do not easily diffuse into the bloodstream due to large molecular weight, hydrophobicity, or other factors, and compounds which are degraded in the gastrointestinal tract.

Although this invention has been described with references to specific embodiments, it is understood that modifications and variations of the method for using ultrasound energy to enhance passage of molecules into and through skin may occur to those skilled in the art. It is intended that all such modifications and variations be included within the scope of the appended claims.

We claim:

1. A method for enhancing and controlling transbuccal infusion of molecules comprising:
  - a) selecting the molecules to be infused through the buccal membranes;
  - b) applying said molecules to the buccal membrane;
  - c) applying ultrasound to said molecules at a frequency of between 20 kHz and 10 MHz and an intensity of between 0 and 4 W/cm<sup>2</sup>; and
  - d) varying the frequency and intensity over time to infuse said molecules through the transbuccal membrane at an optimal rate into the circulatory system without delay or damaging the buccal membranes, wherein the optimal rate is determined by measurements of a physiological fluid.
2. The method of claim 1 further comprising measuring the concentration of said molecules in a physiological fluid during or immediately after administration of the ultrasound.
3. The method of claim 1 further comprising measuring the concentrations of said molecule in the physiological fluid over time, determining the rate of transbuccal transfer for said molecules at specific frequencies, intensities and times of ultrasound application, wherein the molecules are subsequently infused using ultrasound at the frequency, intensity, and time of application determined to yield a specific concentration.

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4. The method of claim 1 wherein the ultrasound frequency is applied at between 0.5 MHz and 1.5 MHz and an intensity of between 2 and 4 W/cm<sup>2</sup>.

5. The method of claim 1 wherein the ultrasound is applied for less than ten minutes.

6. The method of claim 1 wherein the ultrasound is pulsed.

7. The method of claim 1 wherein the ultrasound is continuous.

8. The method of claim 1 wherein the molecule is selected from the group of molecules consisting of proteins, drugs, antigens, vitamins, inorganic compounds, organic compounds, and combinations thereof, wherein said molecule has a biological effect when infused into the circulatory system.

9. The method of claim 1 further comprising measuring the temperature of the membrane where the ultrasound is applied and applying the ultrasound at a frequency and intensity over a period of time which does not cause an increase in skin temperature of more than 2°C.

10. A combination of molecules for transbuccal infusion and an ultrasound emitter adapted to enhance such infusion, the ultrasound emitter comprising control circuits adapted to deliver ultrasound at a frequency of between 20 kHz and 10 MHz and at an

intensity of between 0 and 4 W/cm<sup>2</sup> for a period such that the molecules are infused through the buccal membrane at a controlled rate without damaging the membrane.

11. The combination of claim 10 further comprising means to measure the temperature of the buccal membrane at the infusion site.

12. A composition for controlled delivery through the buccal membranes comprising molecules in a pharmaceutically effective concentration in a medium suitable for administration through the buccal membranes when ultrasound is applied to said molecules at a frequency of between 20 kHz and 10 MHz and an intensity of between 0 and 4 W/cm<sup>2</sup>.

13. The composition of claim 12 wherein said medium is a polymeric matrix and said molecules can diffuse out of said polymeric matrix into the buccal membranes.

14. The composition of claim 12 wherein said medium dissolves in the environment of the buccal membranes.

15. The composition of claim 12 wherein said medium is a polymeric matrix and said polymeric matrix releases said molecules from said matrix in a controlled manner over a specific time period when said matrix is exposed to ultrasonic energy.

16. The composition of claim 12 wherein said medium is a polymeric matrix formed of polymer selected from the group consisting of polyanhydrides, polylactic acid, polyglycolic acid, ethylene vinyl acetate copolymers, polypropylene, polyethylene, and other bicompatible polymers.

17. The composition of claim 12 further comprising chemical compounds which alter the permeability of the buccal membranes.

18. The composition of claim 12 further comprising a medium having an absorption coefficient similar to that of water which facilitates transfer of the ultrasound to the infusion site on the buccal membranes.



# INTERNATIONAL SEARCH REPORT

International Application No. **PCT/US89/03563**

## I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) <sup>6</sup>

According to International Patent Classification (IPC) or to both National Classification and IPC

**IPC (4): A61M 37/00**

**U. S. CL: 424/435**

## II. FIELDS SEARCHED

Minimum Documentation Searched <sup>7</sup>

Classification System	Classification Symbols
U. S.	128/24A ; 424/434, 435, 436 ; 514/946, 947 ; 604/22

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>

## III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup>

Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
Y	US, A, 4,002,221 (BUCHALTER) 11 JANUARY 1977 See entire document	1-9
Y	US, A, 4,144,646 (TAKEMOTO) 20 MARCH 1979 See entire document	10-18
X	US, A, 4,309,989 (FAHIM) 12 JANUARY 1982 See entire document	1-9
X	US, A, 4,372,296 (FAHIM) 08 FEBRUARY 1983 See entire document	1-9
Y	US, A, 4,698,058 (GREENFELD) 06 OCTOBER 1987 See entire document	10-18
Y, P	US, A, 4,780,212 (KOST) 25 OCTOBER 1988 See entire document	1-18

\* Special categories of cited documents: <sup>14</sup>

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"G" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search  
**14 NOVEMBER 1989**

Date of Mailing of this International Search Report

**22 NOV 1989**

International Searching Authority  
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**T. K. PAGE**

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US005386837A

**United States Patent** [19]

Sterzer

[11] **Patent Number:** 5,386,837[45] **Date of Patent:** Feb. 7, 1995**[54] METHOD FOR ENHANCING DELIVERY OF  
CHEMOTHERAPY EMPLOYING  
HIGH-FREQUENCY FORCE FIELDS****[75] Inventor:** Fred Sterzer, Lawrence Township,  
Mercer County, N.J.**[73] Assignee:** MMTC, Inc., Princeton, N.J.**[21] Appl. No.:** 11,817**[22] Filed:** Feb. 1, 1993**[51] Int. Cl.<sup>6</sup>** ..... A61B 19/00**[52] U.S. Cl.** ..... 128/898**[58] Field of Search** ..... 600/2; 607/2, 3, 154,  
607/901, 58, 72-74, 148; 128/898, 24.1, 24 AA,  
630; 606/32**[56] References Cited****U.S. PATENT DOCUMENTS**

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Belehradek et al, Abstract.

"Local and Systematic Antitumor Effects in Mice of  
the Combination of Electrochemotherapy and an Im-  
munotherapy" Mir et al, Abstract.

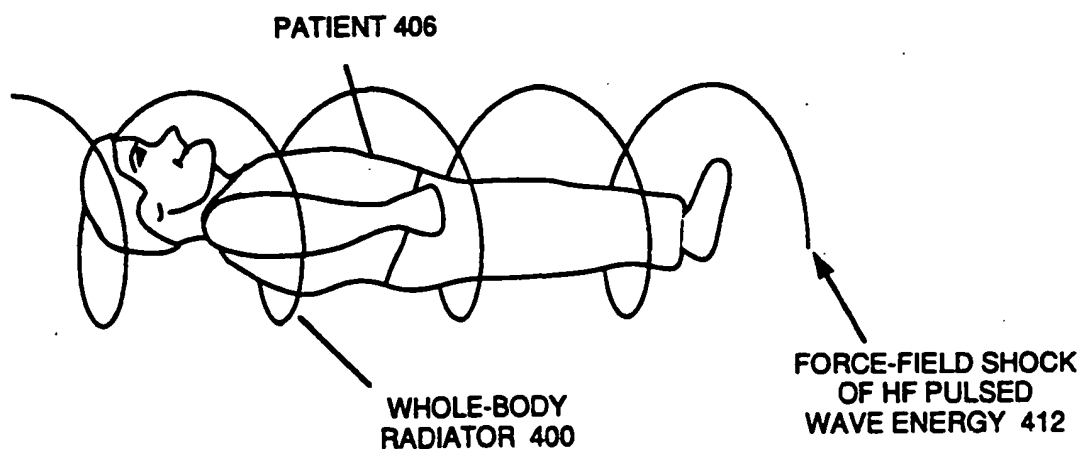
*Primary Examiner*—William E. Kamm

*Assistant Examiner*—Marianne Parker

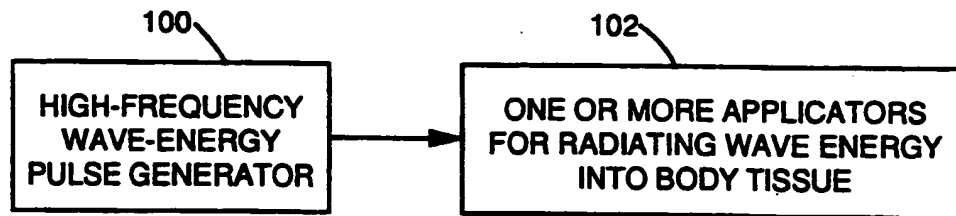
*Attorney, Agent, or Firm*—George J. Seligsohn

**[57]****ABSTRACT**

Pulse shocks of high-frequency wave energy (e.g. RF, microwave, high-energy infra-red or laser electromag-  
netic wave energy or ultrasonic acoustic wave energy),  
rather than DC electric pulses, are employed to non-  
invasively produce force fields of an intensity sufficient  
to create transient pores in the plasma membranes of  
targeted cells, such as tumor cells or other diseased  
cells, through which either locally or systemically ap-  
plied drug or chemotherapeutic agents can easily enter  
and be taken up by the targeted cells, even for (1) the  
cells of a deep-seated tumor, (2) non-localized metasta-  
sized tumor cells within a patient's body, or (3) cells  
(e.g., blood cells) temporarily removed to outside of a  
patient's body.

**14 Claims, 5 Drawing Sheets**

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**FIGURE 1**

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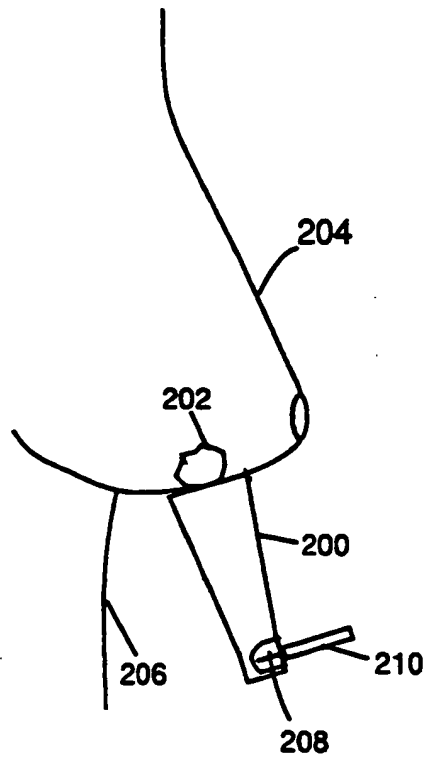


FIGURE 2

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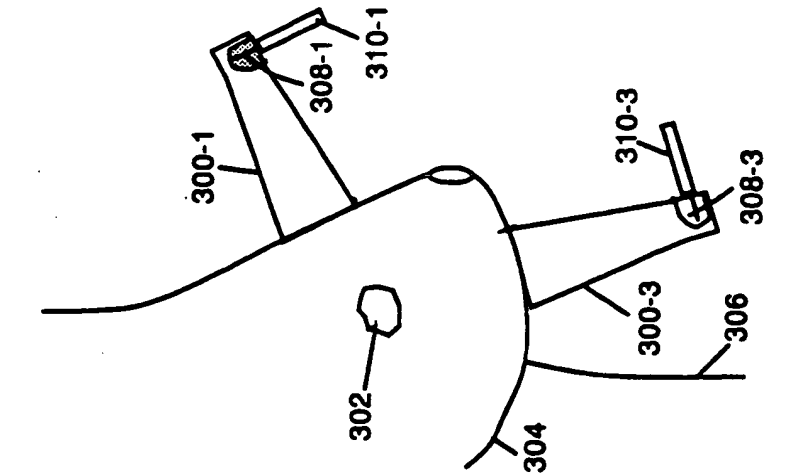


FIGURE 3a

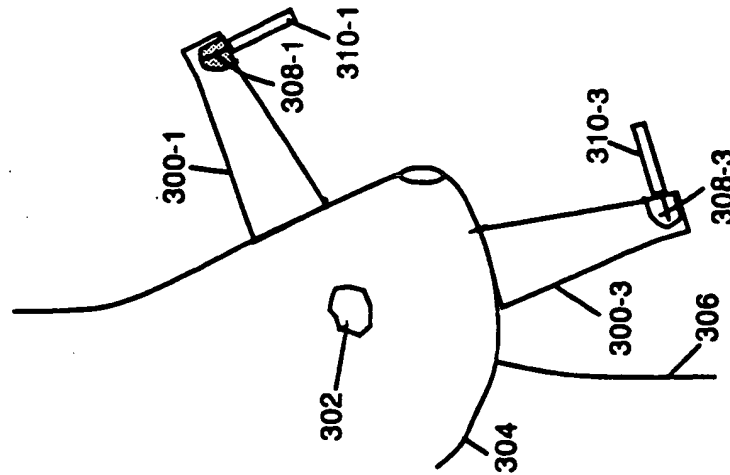


FIGURE 3b

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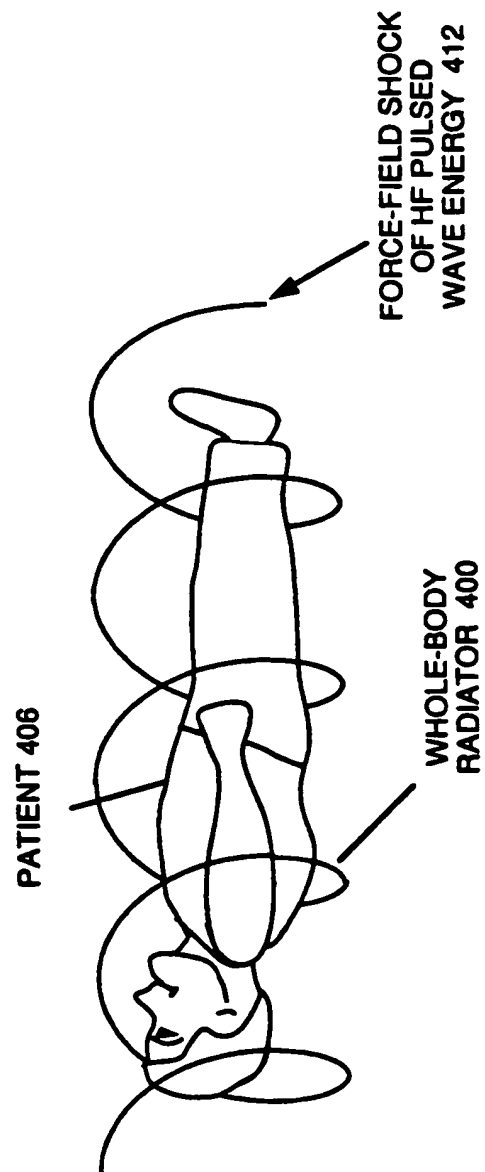


FIGURE 4

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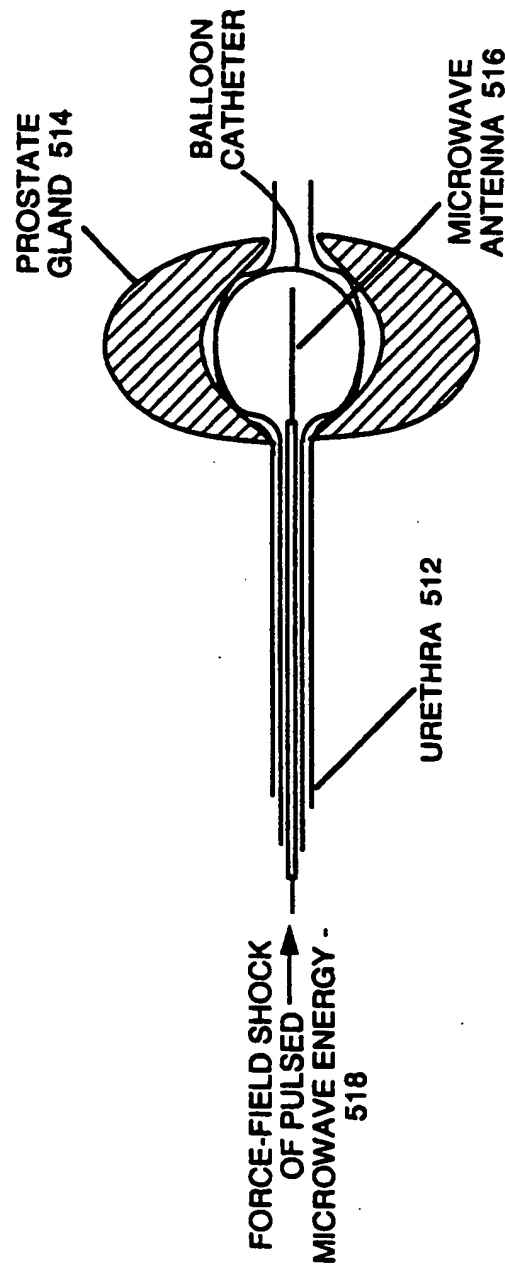


FIGURE 5

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## METHOD FOR ENHANCING DELIVERY OF CHEMOTHERAPY EMPLOYING HIGH-FREQUENCY FORCE FIELDS

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention relates to the use of force fields to enhance the absorption of a chemotherapeutic agent, comprising one or more particular drugs, by targeted cells and, more particularly, the use of high-frequency force fields for this purpose.

#### 2. Description of the Prior Art

As known in the art for some time, standard chemotherapy as a treatment for a tumor or cancer, AIDS or certain other diseases involves the use of a drug (or drugs) to which particular target cells are significantly more sensitive than are normal cells. For instance, in the case of a tumor or cancer, such drugs are more effective in poisoning tumor cells than they are in poisoning normal cells, and in the case of Graves disease radioactive iodine is targeted to thyroid cells for the purpose of destroying some of them. While in some cases the chemotherapeutic drug may be applied directly to the cells of the tumor or other targeted cells themselves, usually such a drug is applied systemically. Standard chemotherapy maintains the concentration of systemically-applied drugs in the blood and other extra-cellular body fluids at a relatively low level in order to limit any damage to normal cells. However, this results in the maximum amount of the chemotherapeutic drug that is actually taken up and delivered into a targeted cell by passing through its cell plasma membrane also being limited to a lower level than would otherwise be optimum.

Recently, a new electrochemotherapy [ECT] antitumor treatment has been developed, which treatment consists of locally delivering shocks of high-intensity DC electrical pulses to tumor sites a short time after the systemic administration of chemotherapy. The DC electrical pulses open large transient pores in the plasma membranes of the exposed cells. The chemotherapeutic agents can enter the cells through these pores resulting in locally enhanced cytotoxicity. More specifically, it is believed that each high-intensity electrical DC pulse shock produces a sufficiently high force field across the plasma membrane of each of the exposed cells to cause the plasma membrane to break down and puncture in response thereto, thereby creating the aforesaid pores in the exposed cells.

ECT using DC pulses has been successfully used in conjunction with bleomycin, a cytotoxic compound which causes DNA breaks and cleaves some RNA. A few hundred bleomycin molecules in the cell cytosol are sufficient to induce cell death. In vitro experiments have shown that using 10% cell survival as a criterion, cells subjected to ECT are 650,000 times more sensitive to bleomycin than those exposed to bleomycin alone. In the case of mice with spontaneous breast tumors, the amount of bleomycin required for remission was so small that the drug if given alone was ineffective and did not seem to induce any secondary effects. Highly encouraging trial results were obtained in patients with head and neck tumors using 4 or 8 DC pulses with amplitudes of 1300 volts/cm and duration of 100 microseconds ( $\mu$ s). The pulses were delivered by means of

metallic electrodes placed on the skin on either side of the malignant nodules.

A problem is that the implementation of ECT with shocks of DC pulses and non-invasive electrodes is limited to the treatment of small cutaneous tumor lesions, since it would be very difficult to non-invasively produce the required DC electric force field strength in subcutaneous or deep-seated tumors. The present invention is directed to a solution of this problem which permits the required strength of a force field to be conveniently produced even in the cells of deep-seated tumors or other types of targeted cells using one or more non-invasive applicators.

### SUMMARY OF THE INVENTION

In accordance with the principles of the present invention, pulse shocks of high-frequency wave energy (e.g. RF, microwave, high-energy infra-red or laser electromagnetic wave energy or ultrasonic acoustic wave energy), rather than DC electric pulses, are employed to non-invasively produce, with minimal or, if desired, a controlled amount of temperature rise in a patient's body tissues, force fields of an intensity sufficient to create transient pores in the plasma membranes of targeted cells, such as tumor or other diseased cells, through which chemotherapeutic agents can easily be delivered, enter and taken up by these targeted cells, even for (1) deep-seated cells (e.g., the cells of a deep-seated tumor) or (2) non-localized diseased cells (e.g., metastasized tumor cells) within a patient's body.

### BRIEF DESCRIPTION OF THE DRAWING

FIG. 1 is a generalized block diagram of equipment used to conveniently apply one or more high-frequency wave-energy force-field pulses of the required strength to any of various types of targeted cells within a patient's body;

FIG. 2 shows an illustrative example of the application of force-field shock pulses to a localized, relatively superficially-seated breast tumor lesion of a patient for enhancing delivery of a systemically administered chemotherapeutic agent into the cells of the superficially-seated breast tumor lesion;

FIG. 3a (front view) and 3b (side view) together show an illustrative example of the application of force-field shock pulses to a localized, relatively deep-seated breast tumor lesion of a patient for enhancing delivery of a systemically administered chemotherapeutic agent into the cells of the deep-seated breast tumor lesion;

FIG. 4 illustrates the application of force-field shock pulses to the whole body of a patient for enhancing delivery of a systemically administered chemotherapeutic agent into metastasized tumor cells in the body of the patient; and

FIG. 5 shows a preferred way in which microwave wave-energy force-field pulses can be applied to the prostate gland of a patient for the purpose of enhancing delivery of a systemically administered chemotherapeutic agent into cells thereof.

### DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring to FIG. 1, there is shown in general terms the type of equipment required to perform the electrochemotherapeutic method of the present invention. As shown, a train of one or more pulses of high-frequency (HF) wave energy from high-frequency wave-energy pulse generator 100 are applied to each of one or more

applicators 102 for radiating wave energy into body tissue of a patient. Depending on particular use, the HF wave energy may comprise RF, microwave, high-energy infra-red or laser electromagnetic wave energy or, alternatively, ultrasonic acoustic wave energy. In any case, the frequency of the wave energy radiated by each of applicators 102 is such as to be able to penetrate to a lesser or greater extent into body tissue. Further, in the case in which there are more than one applicators 102, corresponding pulses of wave energy radiated therefrom may, for reasons to be discussed below, be relatively time delayed with respect to one another.

It is essential in performing the electrochemo-therapeutic method of the present invention that the peak power of the pulsed wave energy irradiating targeted cells, which are to be treated, of the patient's body be sufficiently high to produce force-field shocks to the plasma membranes of these cells that cause their plasma membranes to break down and puncture in response thereto, thereby creating pores in these plasma membranes through which a systemically administered chemotherapeutic agent may easily enter and be taken up. Further, it is often desirable that the average power of the pulsed wave energy producing these force-field shocks be sufficiently low as to effect only a minimal rise in the temperature of all irradiated cells of the patient's body. By way of an example, the peak power of the pulsed wave energy may be 10 kW, while the average power of the pulsed wave energy may be only 100 mW, (i.e., a pulsed-wave-energy duty cycle of only 0.001 percent). However, in some cases, it is therapeutically desirable that the average power of the pulsed wave energy be sufficient to raise the temperature of all irradiated cells of the patient's body by a controlled amount while the force-field shocks are being produced. For instance, the uptake and/or efficacy of a drug or chemotherapeutic agent that is delivered into a targeted cell may be greater at a certain controlled elevated temperature. Also, the use of high-frequency force-field ECT, forming the subject of the present invention, may be beneficially used in conjunction with other treatment modalities (such as hyperthermia or X-rays, by way of examples).

Referring to FIG. 2, there is shown an illustrative example of an applicator comprising a single ceramic horn antenna 200 for non-invasively applying a highly directional beam of force-field shock of HF pulsed wave energy into the cells of a superficially-seated tumor lesion 202 in breast 204 of patient 206 from outside of the patient's body without any substantial application of this directional beam to the normal tissue of patient 206. It is assumed that force-field shock of HF pulsed wave energy is applied to superficially-seated breast tumor lesion 202 a short time after the systemic administration of a chemotherapeutic agent to patient 206; that the intensity of force-field shock of HF pulsed wave energy is sufficient to cause the plasma membranes of the cells of superficially-seated tumor lesion 202 to break down and puncture in response thereto, thereby creating pores in these plasma membranes through which the systemically administered chemotherapeutic agent may easily enter; and that the duty cycle of the pulses of force-field shock of HF pulsed wave energy is sufficiently low as to either effect only a minimal rise in the temperature of all the cells of superficially-seated tumor lesion 202 or a controlled rise of such temperature.

For illustrative purposes, the applicator is shown in FIG. 2 as a single ceramic horn antenna 200 having a radiating element 208 to which pulses of microwave energy (which may have a frequency of about 915 MHz) are applied from a microwave pulse generator (not shown) through coaxial cable 210. However, it should be understood that the applicator for non-invasively applying a highly directional beam of force-field shock of HF pulsed wave energy into the cells of superficially-seated tumor lesion 202 may take other forms known in the art. For instance, the applicator could comprise an array of two or more capacitor plates, rather than comprising ceramic horn antenna 200.

Referring to FIGS. 3a (front view) and 3b (side view), there is shown an illustrative example of an applicator for non-invasively applying a highly directional beam of force-field shock of HF pulsed wave energy into the cells of a deep-seated tumor lesion 302 in breast 304 of patient 306 from outside of the patient's body without any substantial application of this directional beam to the normal tissue of the patient. The applicator shown in FIGS. 3a and 3b comprises the four ceramic horn antennas 300-1, 300-2, 300-3 and 300-4, each of which is similar to above-described ceramic horn antenna 200. Specifically, ceramic horn antennas 300-1, 300-2, 300-3 and 300-4, respectively, include radiating elements 308-1, 308-2, 308-3 and 308-4 (only radiating elements 308-1 and 308-3 being visible in the drawing) to which pulses of microwave energy (which may have a frequency of about 915 MHz) are synchronously applied from a microwave pulse generator (not shown) through respective coaxial cables 310-1, 310-2, 310-3 and 310-4. The four ceramic horn antennas 300-1, 300-2, 300-3 and 300-4, each of which is angularly spaced about 90° from its adjacent ceramic horn antennas, surround breast 304 on the outside of the patient. Each of four ceramic horn antennas 300-1, 300-2, 300-3 and 300-4 is positioned to radiate a highly directional beam of force-field shock of HF pulsed wave energy into the site of the cells of deep-seated breast tumor lesion 302. The relative timing of corresponding pulses of HF wave energy radiated by each of four ceramic horn antennas 300-1, 300-2, 300-3 and 300-4 (which, depending upon the particular location of breast tumor lesion 302 in breast 304, may be concurrent or may be suitably time-delayed with respect to one another by the microwave pulse generator) is such that the respective intensities thereof combine within the site of deep-seated breast tumor lesion 302.

Unlike the highly directional beam of force-field shock of HF pulsed wave energy radiated by ceramic horn antenna 200, described above, the intensity of force-field shock of HF pulsed wave energy radiated by each of ceramic horn antennas 300-1, 300-2, 300-3 and 300-4 is insufficient in itself to cause the plasma membranes of the cells of deep-seated breast tumor lesion 302 to break down and puncture in response thereto or to cause any significant damage to the normal cells of breast 304 through which it passes. However, the combined intensity within the site of deep-seated breast tumor lesion 302 of all four highly directional beams of force-field shock of HF pulsed wave energy is sufficient to cause the plasma membranes of the cells of deep-seated breast tumor lesion 302 to break down and puncture in response thereto, thereby creating pores in these plasma membranes through which the systemically administered chemotherapeutic agent may easily enter



and be taken up by the cells of deep-seated breast tumor lesion 302.

The principles exemplified by FIGS. 3a and 3b is not limited to treating deep-seated breast tumors by the particular arrangement shown in FIGS. 3a and 3b, but can be extended to any type of applicator comprising a plurality of two or more radiators each of which may non-invasively apply a highly directional beam of force-field shock of HF pulsed wave energy to the site of a deep-seated tumor lesion (or the site of other deep-seated tissue of a patient to be treated by ECT) from different positions outside of the body of the patient., wherein the radiated intensity from each of the plurality of radiators is insufficient to cause significant damage to normal cells but the combined intensity thereof is sufficient to provide ECT treatment within the site. The use of such a plurality of directional applicators is particularly suitable for treating large deep-seated tumors. For instance, a deep-seated tumor lesion within the torso of a patient may be treated by two large ceramic horn antennas or a capacitor array comprising plates situated on opposite sides of a patient's body. In this case, lower (e.g., 27 or 40 MHz) RF frequency wave energy would be employed to provide a sufficient tissue penetration capability.

In those cases in which the cells of certain types of metastasized tumors are poisoned to a much greater extent by a certain concentration of a particular chemotherapeutic agent than are normal cells, the ECT treatment method of the present invention may be used to advantage. More specifically, as schematically illustrated in FIG. 4 by whole-body radiator 400, the cells of the whole body (or at least a substantial portion of his whole body) of patient 406 is irradiated by force-field shock of HF pulsed wave energy 412, which has a sufficient intensity to cause the plasma membranes of both of metastasized tumor and normal cells of the body of patient 406 to break down, puncture, and create pores therein through which the particular chemotherapeutic agent may easily enter and be taken up. The result is that the concentration of the systemically administered particular chemotherapeutic agent needed to poison the metastasized tumors is significantly lowered. While more of the lower concentrated particular chemotherapeutic agent enters the patient's normal cells, the damage to these normal cells is limited by the fact that the cells of the metastasized tumors are poisoned to a much greater extent by the lower concentration of the particular chemotherapeutic agent than are normal cells. While FIG. 4 shows whole-body radiator 400 as a coil, it may comprise a capacitor array or some other form instead.

Reference is now made to U.S. Pat. No. 5,007,437, which issued to me on Apr. 16, 1991 and is assigned to the same assignee as the present application. U.S. Pat. No. 5,007,437 teaches the use of a balloon catheter for the treatment of prostate cancer and/or benign prostatic hypertrophy (BPH) by heating the prostate of a patient with continuous-wave (cw) microwaves applied to a microwave antenna of the balloon catheter and radiated from this microwave antenna to compressed prostate tissue through compressed non-prostate tissue surrounding an orifice (e.g., the urethra or rectum) of the patient in the vicinity of his prostate, while the balloon catheter is inflated. This compression increases the therapeutic temperature to which the prostate tissue more distal to the microwave antenna can be heated without heating any non-prostate tissue beyond a maximum safe

temperature, and reduces the temperature differential between the heated more distal and more proximate prostate tissue from the microwave antenna.

FIG. 5 schematically shows a species of the balloon catheter disclosed in U.S. Pat. No. 5,007,437 in which inflated balloon catheter 510, inserted in urethra 512 of a patient, compresses his prostate gland 514, while prostate gland 514 is irradiated from microwave antenna 516. However, in the case of FIG. 5, microwave antenna 516 is energized by a force-field shock of pulsed microwave energy 518, rather than by continuous-wave (cw) microwave energy (as is the case taught in U.S. Pat. No. 5,007,437). Further, in the case of FIG. 5, the energy in each pulse of the pulsed microwave energy and the duty cycle of the pulsed microwave energy are sufficiently low to effect only a minimal rise in the temperature of the prostate and non-prostate tissue, or, in some cases, a controlled rise to a temperature which enhances the uptake and efficacy of a systemically applied drug. This differs from the case taught in U.S. Pat. No. 5,007,437 wherein the purpose of the applied cw microwave energy is to heat the prostate all the way up to a relatively high therapeutic temperature which is still below a safe temperature for non-prostate tissue through which the microwave energy penetrates.

In FIG. 5, compression of prostate gland 514 by the use of inflated balloon catheter 510 makes it possible to increase the intensity of the force-field shock of pulsed microwave energy applied to microwave antenna 516 so that the irradiated intensity thereof at the more distal prostate cells is sufficient to cause the plasma membranes of these cells to break down, puncture, and create pores therein, while the differential in irradiated intensity between that at the more distal prostate cells and that at the more proximate prostate cells is reduced.

There are not only chemotherapeutic drugs for the treatment of prostate cancer, there are now also chemotherapeutic drugs for the treatment of BPH. The electrochemotherapeutic treatment method of the present invention, as exemplified in FIG. 5, makes it possible to enhance the delivery and uptake of these type of drugs into the cells of prostate, gland 514.

In the foregoing description of the present invention, it was tacitly assumed that the cells being treated by ECT were situated within the body of the patient. However, this need not be the case. In such cases as the treatment of blood cancers, it may be desirable to temporarily remove the blood and/or other body fluids from the patient's body, or, alternatively, circulate the blood and/or other body fluids through a tube outside of the patient's body and to apply ECT to the blood cells while they are outside of the patient's body using RF, microwave, high-energy infra-red or laser electromagnetic wave energy or ultrasonic acoustic wave energy, as appropriate for this purpose. In this case, the drug or chemotherapeutic agent being used may not be systemically applied to the patient, but instead be applied to the blood and/or other body fluids while outside of the patient's body.

The present invention is suitable for use with enhancing the delivery and uptake of any type of one or more drugs or chemotherapeutic agent to specified target cells, using microwave, high-energy infra-red or laser electromagnetic wave energy or ultrasonic acoustic wave energy shocks to enhance the therapeutic delivery of such drugs into cells at one or more specified sites. This has important advantages over the use of prior-art DC shocks for this purpose. First, it is much

easier to shock well defined tissue volumes with RF, microwave, high-energy infra-red or laser electromagnetic wave energy or ultrasonic acoustic wave energy than with DC, as is illustrated by the above-described applicators shown in FIGS. 2-5. This is most important when dealing with highly toxic drugs such as chemotherapeutic agents. Second, with RF, microwave, high-energy infra-red or laser electromagnetic wave energy or ultrasonic acoustic wave energy shocks one can take advantage of the resonance phenomena associated with the flux of molecules into and out of cells by choosing the appropriate frequency or frequencies to produce the shocks. Thus it should be possible to target specific types of cells at specific locations, or produce shocks specifically tailored to specific drugs.

What is claimed is:

1. In an electrochemotherapeutic treatment method comprising the steps of (1) systemically administering an agent which includes at least one of a drug agent and a chemotherapeutic agent to a patient, and (2), a short time after the systemic administration of said agent, applying force-field shock pulses to at least one site of said patient of sufficiently high-intensities to open large transient pores in plasma membranes of cells of each site to which said force-field shock pulses are applied, thereby permitting said agent to enter said cells of that site through said pores and result in locally enhanced therapeutic effect; the improvement wherein said step (2) comprises the step of:
  - a) applying at least one of said force-field shock pulses that comprises a burst of high-frequency wave energy to a given site of said patient of sufficiently high-intensity to open large transient pores in plasma membranes of cells of said given site.
2. The method defined in claim 1, wherein: said burst of high-frequency wave energy comprises high-frequency electromagnetic wave energy.
3. The method defined in claim 2, wherein: said burst of high-frequency electromagnetic wave energy comprises radio-frequency wave energy.
4. The method defined in claim 2, wherein: said burst of high-frequency electromagnetic wave energy comprises microwave wave energy.
5. The method defined in claim 1, wherein: said burst of high-frequency wave energy comprises ultrasonic acoustic wave energy.
6. The method defined in claim 1, wherein said step (a) comprises the step of:
  - applying to said one site a train of said high-frequency wave-energy force-field shock pulses in which energy in each pulse of said train and duty cycle of said pulse train are sufficiently low to effect only a minimal rise in temperature of said cells at said given site.
7. The method defined in claim 1, wherein step (a) comprises the step of:
  - b) applying to a tumor lesion at said one site at least one directed beam of said force-field shock pulses of high-frequency wave-energy bursts.
8. The method defined in claim 7, wherein step (b) comprises the step of:
  - c) applying to a relatively superficially-seated tumor lesion at said one site a single directed beam of said force-field shock pulses of high-frequency wave-energy bursts.
9. The method defined in claim 7, wherein step (b) comprises the step of:
  - c) simultaneously applying to a relatively deep-seated tumor lesion at said one site from different direc-

tions a plurality of separate directed beams of said force-field shock pulses of high-frequency wave-energy bursts that effectively intersect at said one site;

wherein said plurality of separate directed beams at their intersection have a combined intensity which is sufficient to open large transient pores in the plasma membranes of cells at said one site, although the intensity of said force-field shock pulses of any single one of said separate directed beams is insufficient in itself to do so.

10. The method defined in claim 7, wherein said agent is preferentially absorbed by cells of a given type of metastasized tumors within said patient's body relative to its absorption by normal cells of said patient's body, and wherein step (b) comprises the step of:

c) applying said force-field shock pulses of high-frequency wave-energy bursts to at least a substantial portion of said patient's whole body;

whereby said patient's metastasized tumors of said given type are treated.

11. In an electrochemotherapeutic method for treating prostate disease of a patient comprising the steps of (1) systemically administering an agent which includes at least one of a drug agent and a chemotherapeutic agent to the patient, and (2), a short time after the systemic administration of said agent, applying sufficient squeezing pressure to non-prostate tissue which surrounds an orifice of the patient in a vicinity of the patient's prostate both to compress the prostate and non-prostate tissue and to increase a distance from a given location within said orifice to said non-prostate tissue; the improvement wherein said method comprises the further step of:

while said pressure is being applied, irradiating said prostate through said non-prostate tissue from said given location within said orifice with a force-field shock of pulsed microwave energy of sufficiently high-intensity to open large transient pores in plasma membranes of cells of said prostate and thereby permit said agent to enter into and be taken up by said cells of said prostate through said pores, to thereby enhance a therapeutic effect of said agent on said prostate.

12. The method defined in claim 11, wherein said step comprises:

irradiating said prostate with a force-field shock of pulsed microwave energy in which the energy in each pulse of said pulsed microwave energy and the duty cycle of said pulsed microwave energy are insufficient to raise said prostate's temperature to a temperature which in itself is therapeutic.

13. The method defined in claim 11, wherein said step comprises:

irradiating said prostate with a force-field shock of pulsed microwave energy in which the energy in each pulse of said pulsed microwave energy and the duty cycle of said pulsed microwave energy are only sufficient to effect a minimal rise in said prostate's and non-prostate's tissue temperature.

14. The method defined in claim 11, wherein: the step of applying squeezing pressure comprises applying squeezing pressure to that non-prostate tissue which surrounds a patient's urethra thereby to increase the diameter of said urethra; and the step of irradiating said prostate includes the step of irradiating said prostate from said urethra.

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